



U.S. Department
of Transportation

**Pipeline and Hazardous
Materials Safety
Administration**

**COMPETENT AUTHORITY CERTIFICATION
FOR A TYPE AF
FISSILE RADIOACTIVE MATERIALS PACKAGE DESIGN
CERTIFICATE USA/9248/AF, REVISION 21**

1200 New Jersey Ave., S.E.
Washington, DC 20590

This certifies that the radioactive materials package design described below has been certified by the Competent Authority of the United States as meeting the regulatory requirements for a Type AF packaging for fissile radioactive materials as prescribed in the regulations of the International Atomic Energy Agency¹ and the United States of America².

1. Package Identification - Areva Model Nos. SP-1, SP-2 and SP-3.
2. Package Description and Authorized Radioactive Contents - as described in U.S. Nuclear Regulatory Commission Certificate of Compliance No. 9248, Revision 19 (attached).
3. Criticality - The minimum criticality safety index is as assigned in attached NRC Certificate of Compliance. The maximum number of packages per conveyance shall be determined in accordance with Table X of the IAEA regulations cited in this certificate.
4. General Conditions -
 - a. Each user of this certificate must have in his possession a copy of this certificate and all documents necessary to properly prepare the package for transportation. The user shall prepare the package for shipment in accordance with the documentation and applicable regulations.
 - b. Each user of this certificate, other than the original petitioner, shall register his identity in writing to the Office of Hazardous Materials Technology (PHH-23), Pipeline and Hazardous Materials Safety Administration, U.S. Department of Transportation, Washington, D.C. 20590-0001.
 - c. This certificate does not relieve any consignor or carrier from compliance with any requirement of the Government of any country through or into which the package is to be transported.
 - d. Records of Quality Assurance activities required by Paragraph 310 of the IAEA regulations shall be maintained and made available to the authorized officials for at least three years after the last shipment authorized by this certificate. Consignors in the United States exporting shipment under this certificate shall satisfy the applicable requirements of Subpart H of 10 CFR 71.

¹ "Regulations for the Safe Transport of Radioactive Materials, 1996 Edition (revised), No. TS-R-1 (ST-1, Revised)," published by the International Atomic Energy Agency (IAEA), Vienna, Austria.

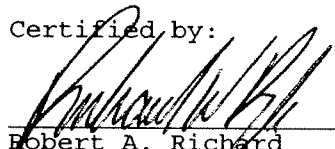
² Title 49, Code of Federal Regulations, Parts 100 - 199, United States of America.

CERTIFICATE USA/9248/AF, REVISION 21

5. Marking and Labeling - The package shall bear the marking USA/9248/AF in addition to other required markings and labeling.
6. Expiration Date - This certificate expires on April 30, 2014. Revision 18 of this certificate may be used until February 28, 2010.

This certificate is issued in accordance with paragraph 814 and 816 of the IAEA Regulations and Sections 173.471 and 173.472 of Title 49 of the Code of Federal Regulations, in response to the April 27, 2009 petition by Areva, Lynchburg, VA and in consideration of other information on file in this Office.

Certified by:

for 

Robert A. Richard
Deputy Associate Administrator for Hazardous Materials Safety

MAY -7 2009

(DATE)

Revision 21 - Issued to correct typographical error in header of certificate.

**CERTIFICATE OF COMPLIANCE
FOR RADIOACTIVE MATERIAL PACKAGES**

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2. PREAMBLE

- a. This certificate is issued to certify that the package (packaging and contents) described in Item 5 below meets the applicable safety standards set forth in Title 10, Code of Federal Regulations, Part 71, "Packaging and Transportation of Radioactive Material."
- b. This certificate does not relieve the consignor from compliance with any requirement of the regulations of the U.S. Department of Transportation or other applicable regulatory agencies, including the government of any country through or into which the package will be transported.

3. THIS CERTIFICATE IS ISSUED ON THE BASIS OF A SAFETY ANALYSIS REPORT OF THE PACKAGE DESIGN OR APPLICATION

- | | |
|---|--|
| a. ISSUED TO (<i>Name and Address</i>)
AREVA NP, Inc.
2101 Horn Rapids Road
Richland, WA 99354 | b. TITLE AND IDENTIFICATION OF REPORT OR APPLICATION
Framatome ANP, Inc. application
dated September 5, 2003, as supplemented. |
|---|--|

4. CONDITIONS

This certificate is conditional upon fulfilling the requirements of 10 CFR Part 71, as applicable, and the conditions specified below.

5.

(a) Packaging

- (1) Model Nos.: SP-1, SP-2, and SP-3
- (2) Description

Fuel assembly and fuel rod shipping containers. The packages consist of a right rectangular metal inner container and a wooden outer container, with cushioning material between the inner and outer containers.

The metal inner container is approximately 11-1/2 inches by 18 inches by 179-1/2 inches long and is positioned within a wooden outer container approximately 30 inches by 31 inches by 207 inches long. The SP-1 and SP-2 packagings differ in the length of the metal inner container and end piece. The SP-3 packagings have a reduced spacing between the fuel assembly channels and the outer surface of the metal inner container. Cushioning is provided between the inner and outer containers by phenolic impregnated honeycomb and ethafoam, or equivalent. Closure of the metal inner container and the wooden outer container is accomplished by bolts. A pressure relief (breather) valve is provided on the inner container, and is set for 0.5 psi differential. The maximum weight of the packaging and contents is 2,800 pounds.

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5.(a) (3) Drawings

The packagings are fabricated and assembled in accordance with the following Framatome ANP, Inc., and Siemens Nuclear Power Corporation/Advanced Nuclear Fuels Corporation Drawing Nos.:

- EMF-304,416, Rev. 14.
- EMF-306,272, Rev. 10.
- EMF-309,141, Rev. 1.

5.(a) (4) Product Containers

- (i) Five-inch, Schedule 40, stainless steel pipe fitted with screw type or flange closure. The product container shall be vented if it contains materials which decompose at less than 1475 °F.
- (ii) Rod shipping container as shown on Siemens Power Corporation Drawing No. EMF-309,141, Rev. 1.

5.(b) Contents

(1) Type and form of material

- (i) UO₂ fuel assemblies in a 7 x 7, an 8 x 8, or a 9 x 9 square array with a maximum fuel cross-section area of 25 square inches, maximum fuel length of 174 inches and maximum average enrichment of 3.3 w/o U-235. Minimum zircaloy clad thickness is 0.025 inches; maximum pellet diameter is 0.555 inches. Any number of water rods in any arrangement is permitted.
- (ii) UO₂ fuel assemblies in a 7 x 7, an 8 x 8, or a 9 x 9 square array with a maximum fuel length of 174 inches, and a maximum average enrichment between 3.3 to 4.0 w/o U-235. The maximum pellet diameter is 0.555 inch, and the minimum clad thickness is 0.025 inch. Any number of water rods in any arrangement is permitted, including part length rods. Each assembly contains at least 4 rods with nominal 2 weight percent Gd₂O₃, which are in non-perimeter locations and are symmetric about the diagonal.
- (iii) UO₂ fuel assemblies with a maximum U-235 enrichment of 5.0 percent by weight, and a maximum average U-235 enrichment of 4.0 percent by weight. Each fuel assembly is made up of fuel rods in a 10 x 10 square array, with a maximum fuel cross section of 5.022 square inches, a nominal pitch of 0.511 inch, and a maximum fuel length of 174 inches. The maximum pellet diameter is 0.3356 inch, the minimum clad thickness is 0.0225 inch, and the maximum U-235 enrichment in any edge rod is 4.0 percent by weight. Each assembly contains at least 6 rods with nominal 2 weight percent Gd₂O₃, which are symmetric about the diagonal, and each assembly contains at least 4 water rods in the 4 central rod positions.

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5.(b) (1) Type and form of material (Continued)

- (iv) UO_2 fuel rods with a maximum U-235 enrichment of 5.0 percent by weight, and a minimum Gd_2O_3 content of 1.0 percent by weight. The rods may be clad with zircaloy, steel, or aluminum. The rods have a maximum fuel pellet diameter of 0.5 inch, and a maximum fuel length of 169 inches.
- (v) UO_2 fuel assemblies composed of fuel rods in a 10 x 10 square array, with a maximum fuel cross section of 5.0 square inches, and a maximum fuel length of 174 inches. The maximum U-235 enrichment is 5.0 weight percent, the maximum U-235 enrichment for all edge rods is 4.0 weight percent, and the maximum average enrichment, excluding perimeter rods and rods containing gadolinia (Gd_2O_3), is 4.0 weight percent U-235. The maximum pellet diameter is 0.35 inch, and the minimum clad thickness is 0.018 inch. Each assembly must have a water channel in the central 3 x 3 rod positions. Any number of additional water rods in any arrangement is permitted, including part length rods. Each assembly must include at least twelve rods with a minimum nominal content of 2.0 weight percent gadolinia (Gd_2O_3), in a pattern symmetric about one of the assembly diagonals. At least eight of the twelve gadolinia rods must be located in rows 2 and 9, and in columns 2 and 9 of the assembly.
- (vi) UO_2 fuel assemblies composed of fuel rods in a 10 x 10 square array, with a maximum fuel cross section of 5.0 square inches, and a maximum fuel length of 174 inches. The maximum U-235 enrichment is 5.0 weight percent. The maximum pellet diameter is 0.35 inch, and the minimum clad thickness is 0.018 inch. Each assembly must have a water channel in the central 3 x 3 rod positions. Any number of additional water rods in any arrangement is permitted, including part length rods. Each assembly must include at least eight rods with a minimum nominal gadolinia (Gd_2O_3) content of 2.0 weight percent in all axial regions with enriched pellets. Additional gadolinia rod specifications are included in supplement dated April 30, 1996.
- (vii) UO_2 fuel assemblies composed of fuel rods in a 9 x 9 square array, with a maximum fuel cross section of 5.0 square inches, and a maximum fuel length of 174 inches. The maximum U-235 enrichment is 5.0 weight percent. The maximum pellet diameter is 0.40 inch, and the minimum clad thickness is 0.015 inch. Each assembly must have a water channel in the central 3 x 3 rod positions. Any number of additional water rods in any arrangement is permitted, including part length rods. Each assembly must include at least eight rods with a minimum nominal gadolinia (Gd_2O_3) content of 2.0 weight percent in all axial regions with enriched pellets. Additional gadolinia rod specifications are included in supplement dated April 30, 1996.

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5.(b) (1) Type and form of material (Continued)

- (viii) UO₂ fuel assemblies composed of fuel rods in a 9 x 9 square array, with a maximum fuel cross-section of 25 square inches, a maximum fuel length of 174 inches, and a maximum average uranium enrichment of 4.0 weight percent U-235. The nominal pellet diameter is 0.370 inch. At least the center 3 x 3 rod locations must be a water channel. Each assembly must include at least eight rods with a minimum nominal gadolinia (Gd₂O₃) content of 2.0 weight percent in all axial regions with enriched pellets. The eight gadolinia rod locations are shown in Figure 1 of the supplement dated July 27, 1999.
- (ix) UO₂ fuel assemblies composed of fuel rods in a 10 x 10 square array, with a maximum fuel cross section of 5.0 square inches, and a maximum fuel length of 174 inches. The maximum U-235 enrichment is 5.0 weight percent, the maximum U-235 enrichment for all edge rods is 4.75 weight percent, the maximum U-235 enrichment for the four (4) corner edge rods is 3.05 weight percent, and the maximum U-235 enrichment for the eight (8) edge rods immediately adjacent to the four corner edge rods is 3.55 weight percent. The pellet diameter is between 0.30 and 0.3957 inch. Each assembly must have a water channel in a central 3 x 3 position. Any number of additional water rods in any arrangement is permitted, including part length rods. Each assembly must include at least ten rods with a minimum nominal content of 2.0 weight percent gadolinia (Gd₂O₃) in all axial regions with the enriched pellets, and in a pattern symmetric about one of the assembly diagonals. At least ten gadolinia rods must be located in rows 2 and 9, and in columns 2 and 9 of the assembly and cannot be immediately adjacent to another one of the ten gadolinia rods; however, diagonally adjacent is permitted. An additional upper tie plate (UTP) shipping shim may be added between the UTP and the fueled region. This UTP shim may consist of a maximum of 345 g plastic or plastic composite.
- (x) UO₂ fuel assemblies composed of fuel rods in a 10 x 10 square array, with a maximum fuel cross section of 5.0 square inches and a maximum fuel length of 174 inches. The maximum uranium enrichment is 2.3 weight percent U-235. The pellet diameter is between 0.30 and 0.3957 inch. Each assembly must have a water channel in a central 3 x 3 position. Any number of additional water rods in any arrangement is permitted, including part length rods. An additional upper tie plate (UTP) shipping shim may be added between the UTP and the fueled region. This UTP shim may consist of a maximum of 345 grams of plastic or plastic composite.

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5.(b) (2) Maximum quantity of material per package

Total weight of contents (fuel assemblies, or fuel rods and rod shipping containers) not to exceed 1265 pounds. Total quantity of radioactive material within a package may not exceed a Type A quantity.

- (i) For the contents described in 5(b)(1)(i), 5(b)(1)(ii), 5(b)(1)(iii), 5(b)(1)(v), 5(b)(1)(vi), 5(b)(1)(vii), 5(b)(1)(viii), 5(b)(1)(ix), and 5(b)(1)(x):

Two full length fuel assemblies. Two short fuel assemblies may be substituted for each full length fuel assembly provided the two short assemblies are shipped end-to-end and the total fuel length does not exceed 174 inches.

- (ii) For the contents described in 5(b)(1)(iv):

Two product containers specified in 5.(a)(4). Each product container may contain any number of loose fuel rods.

5.(c) Transport Index for Criticality Control (Criticality Safety Index)

Minimum transport index to be shown on label for nuclear criticality control:

- (1) For contents described in 5(b)(1)(i), 5(b)(1)(ii), 5(b)(1)(iii), 5(b)(1)(iv), and 5(b)(1)(viii), and limited in 5(b)(2)(i) and 5(b)(2)(iii):

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- (2) For contents described in 5(b)(1)(v), 5(b)(1)(vi), 5(b)(1)(vii), 5(b)(1)(ix), 5(b)(1)(x), and limited in 5(b)(2)(i):

1.0

6. Each fuel assembly must be unsheathed or must be enclosed in an unsealed, polyethylene sheath which may not extend beyond the ends of the fuel assembly. The ends of the sheath may not be folded or taped in any manner that would prevent the flow of liquids into or out of the sheathed fuel assembly.

7. Polyethylene shipping shims may be inserted between rods within fuel assemblies as follows:

- (a) For contents described in 5(b)(1)(i) and 5(b)(1)(ii), up to a maximum of 0.20 gram H₂O hydrogen equivalent per cubic centimeter averaged over the assembly.

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7. Polyethylene shipping shims may be inserted between rods within fuel assemblies as follows (Cont.):
 - (b) For contents described in 5(b)(1)(v), up to a maximum of 0.25 gram H₂O hydrogen equivalent per cubic centimeter averaged over the assembly.
 - (c) For contents described in 5(b)(1)(viii), up to a maximum volume fraction of 0.13 averaged over the void volume of the assembly.
 - (d) For contents described in 5(b)(1)(iii), 5(b)(1)(vi), and 5(b)(1)(vii), polyethylene shipping shims are not permitted.
 - (e) For contents described in 5(b)(1)(ix) and 5(b)(1)(x), up to a maximum volume fraction of 0.14 averaged over the void volume of the assembly.
8. Only contents described in 5(b)(1)(viii) and 5(b)(1)(ix) are authorized for transport in Model No. SP-3 packages.
9. Maximum average enrichment means the highest average enrichment through any cross sectional plane of the assembly.
10. In addition to the requirements of Subpart G of 10 CFR Part 71:
 - (a) The package must be prepared for shipment and operated in accordance with the Operating Procedures in Chapter 7 of the application dated September 5, 2003.
 - (b) Each packaging must be acceptance tested and maintained in accordance with the Acceptance Tests and Maintenance Program in Chapter 8 of the application dated September 5, 2003.
11. The package authorized by this certificate is hereby authorized for use under the general license provisions of 10 CFR §71.17.
12. Transport by air of fissile material is not authorized.
13. Revision 18 of this certificate may be used until February 28, 2010.
14. Expiration date: April 30, 2014.

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REFERENCES

Framatome ANP, Inc., application dated September 5, 2003.

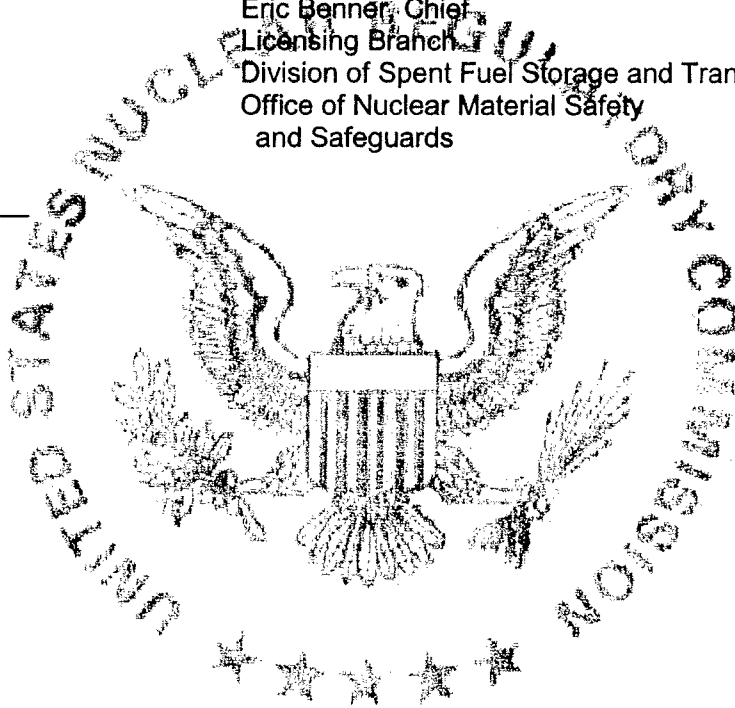
Supplements dated: September 24 and November 6, 2003.

FOR THE U.S. NUCLEAR REGULATORY COMMISSION



Eric Benner, Chief
Licensing Branch
Division of Spent Fuel Storage and Transportation
Office of Nuclear Material Safety
and Safeguards

Date: April 16, 2009





UNITED STATES
NUCLEAR REGULATORY COMMISSION
WASHINGTON, D.C. 20555-0001

SAFETY EVALUATION REPORT

Docket No. 71-9248
Model No. SP-1, SP-2, and SP-3 Packages
Certificate of Compliance No. 9248
Revision No. 19

SUMMARY

By letter dated January 20, 2009, AREVA NP, Inc., requested renewal of Certificate of Compliance No. 9248 for the Model No. SP-1, SP-2, and SP-3 packages (ML090890186). AREVA NP, Inc., did not request any changes to the package design, operating procedures, acceptance tests, and/or maintenance program of the package. In addition, in letter dated June 17, 2008, AREVA NP, Inc., requested to change the certificate holder from Framatome ANP, Inc., 2101 Horn Rapids Road, Richland, WA 99352-0130, to AREVA NP, Inc., 3315 Old Forest Road, Lynchburg, VA 24506 (ML081820120). On April 14, 2009, AREVA NP, Inc., sent an e-mail to the staff clarifying its request to change the certificate holder (ML091050203).

AREVA NP, Inc., made its request for renewal in a timely manner. The certificate has been renewed for an additional five year period under the requested certificate holder. The amendment requested on letter dated June 17, 2008, is being processed separately.

EVALUATION

By letter dated January 20, 2009, AREVA NP, Inc., requested renewal of Certificate of Compliance (CoC) No. 9248 for the Model No. SP-1, SP-2, and SP-3 packages (USA/9248/AF) (ML090890186). In addition, on letter dated June 17, 2008, AREVA NP, Inc., requested to change the certificate holder from Framatome ANP, Inc., 2101 Horn Rapids Road, Richland, WA 99352-0130, to AREVA NP, Inc., 3315 Old Forest Road, Lynchburg, VA 24506 (ML081820120). On April 14, 2009, AREVA NP, Inc., sent an e-mail to the staff clarifying its request to change the certificate holder (ML091050203). AREVA NP, Inc., did not request any changes to the package design, operating procedures, acceptance tests, and/or maintenance program of the packages. The staff reviewed the application for the Model No. SP-1, SP-2, and SP-3 packages dated September 5, 2003, and its supplements dated September 24, 2003, and November 6, 2003, respectively, in support of the renewal request and determined that the documentation was available and complete.

The certificate holder, Item No. 3(a) has been changed from Framatome ANP, Inc., to AREVA NP, Inc., and the corresponding address. Item No. 3(b) of this CoC will remain unchanged. AREVA NP, Inc., acquired Framatome ANP, Inc., and has accepted responsibility for the completeness and accuracy of the statements and representations of the previous certificate holder, Framatome ANP, Inc. As a CoC holder, AREVA NP, Inc., will be responsible for maintaining the certificate and Safety Analysis Report for the package design in accordance with the requirements of 10 CFR 71.91(c). AREVA NP, Inc., has indicated that the records for this design will be maintained at 2101 Horn Rapids Road, Richland, WA.

A new Condition No. 12 was added to clarify that fissile material is not authorized for air transport since the package was not evaluated per the requirements of 10 CFR 71.55(f).

A new Condition No. 13 was added to the certificate and allows the previous revision of the certificate to be used for a period of approximately one year.

As a consequence of adding Condition Nos. 12 and 13, the previous Condition No. 12 was renumbered Condition No. 14, and the expiration date was changed to April 30, 2015.

Typographical errors were corrected in Revision 19 of Certificate of Compliance No. 9248.

CONCLUSION

The certificate has been renewed for a five year term. These changes do not affect the ability of the package to meet the requirements of 10 CFR Part 71.

Issued with Certificate of Compliance No. 9248, Revision No. 19

on April 16, 2009.



U.S. Department
of Transportation

**Pipeline and
Hazardous Materials
Safety Administration**

East Building, PHH-23
1200 New Jersey Avenue SE
Washington, D.C. 20590

CERTIFICATE NUMBER: USA/9248/AF-85, Revision 21

ORIGINAL REGISTRANT(S):

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