

East Building, PHH-23 1200 New Jersey Ave, SE Washington, D.C. 20590

U.S. Department of Transportation

COMPETENT AUTHORITY CERTIFICATION FOR A TYPE FISSILE RADIOACTIVE MATERIALS PACKAGE DESIGN CERTIFICATE USA/9294/AF-96, REVISION 11

Pipeline and Hazardous Materials Safety Administration

The Competent Authority of the United States certifies that the radioactive material package design described in this certificate satisfies the regulatory requirements for a Type AF package for fissile material as prescribed in the regulations of the International Atomic Energy Agency¹ and the United States of America² The package design is approved for use within the United States for import and export shipments made in accordance with applicable international and domestic transport regulations.

- 1. Package Identification Global Nuclear Fuels Model No. NPC.
- <u>Package Description and Authorized Radioactive Contents</u> as described in U.S. Nuclear Regulatory Commission Certificate of Compliance No. 9294, Revision 9 (attached).
- 3. <u>Criticality</u> The minimum criticality safety index is 0.7. The maximum number of packages per conveyance is determined in accordance with Table 11 of the IAEA regulations cited in this certificate.
- 4. General Conditions
 - a. Each user of this certificate must have in his possession a copy of this certificate and all documents necessary to properly prepare the package for transportation. The user shall prepare the package for shipment in accordance with the documentation and applicable regulations.
 - b. Each user of this certificate, other than the original petitioner, shall register his identity in writing to the Office of Engineering and Research, (PHH-23), Pipeline and Hazardous

¹ "Regulations for the Safe Transport of Radioactive Material, 2012 Edition, No. SSR-6" published by the International Atomic Energy Agency (IAEA), Vienna, Austria.

² Title 49, Code of Federal Regulations, Parts 100-199, United States of America.

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Materials Safety Administration, U.S. Department of Transportation, Washington D.C. 20590-0001.

- c. This certificate does not relieve any consignor or carrier from compliance with any requirement of the Government of any country through or into which the package is to be transported.
- d. Records of Management System activities required by Paragraph 306 of the IAEA regulations¹ shall be maintained and made available to the authorized officials for at least three years after the last shipment authorized by this certificate. Consignors in the United States exporting shipments under this certificate shall satisfy the applicable requirements of Subpart H of 10 CFR 71.

5. Special Conditions -

- a. The package must be prepared for shipment and operated in accordance with the Operating Procedures found in Chapter 7 of the safety analysis report, as supplemented. Within each inner containment canister assembly (ICCA), the contents and secondary packaging (i.e. dunnage) must provide a snug fit.
- b. Each packaging must be acceptance tested and maintained in accordance with the Acceptance Tests and Maintenance Program in Chapter 8 of the safety analysis report.
- c. Transport by air of fissile material is not authorized.
- 6. <u>Marking and Labeling</u> The package shall bear the marking USA/9294/AF-96 in addition to other required markings and labeling.
- 7. <u>Expiration Date</u> This certificate expires on November 30, 2025. Previous editions which have not reached their expiration date may continue to be used.

CERTIFICATE USA/9294/AF-96, REVISION 11

This certificate is issued in accordance with paragraph(s) 816 of the IAEA Regulations and Section 173.471 and 173.472 of Title 49 of the Code of Federal Regulations, in response to the June 26, 2020 petition by Global Nuclear Fuels - Americas, Wilmington, NC, and in consideration of other information on file in this Office.

Certified By:

August 06, 2020 (DATE)

William Schoonover Associate Administrator for Hazardous Materials Safety

Revision 11 - Issued to endorse U.S. Nuclear Regulatory Commission Certificate of Compliance No. 9294, Revision 9.

U.S. NUCLEAR REGULATORY COMMISSION (8-2000) 10 CFR 71 CERTIFICATE OF COMPLIANCE FOR RADIOACTIVE MATERIAL PACKAGES								
1. a. CERTIFICATE NUMBER 9294	b. REVISION NUMBER	c. DOCKET NUMBER 71-9294	d. PACKAGE IDENTIFICATION NUMBER	PAGE 1 OF	PAGES 4			
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2. PREAMBLE								
a. This certificate is issued to certify that the package (packaging and contents) described in Item 5 below meets the applicable safety standards set forth in Title 10, Code of Federal Regulations, Part 71, "Packaging and Transportation of Radioactive Material."								
b. This certificate does not relieve the cou other applicable regulatory agencies, i	b. This certificate does not relieve the consignor from compliance with any requirement of the regulations of the U.S. Department of Transportation or other applicable regulatory agencies, including the government of any country through or into which the package will be transported.							
3. THIS CERTIFICATE IS ISSUED ON THE BASIS OF A SAFETY ANALYSIS REPORT OF THE PACKAGE DESIGN OR APPLICATION								
a. ISSUED TO (Name and Address) b. TITLE AND IDENTIFICATION OF REPORT OR APPLICATION								
Global Nuclear Fuel - Americas, LLC P.O. Box 780 Wilmington, NC 28402								
 CONDITIONS This certificate is conditional upon fulfilling 	he requirements of 10 (CFR Part 71, as applicat	ole, and the conditions specified belo	W.				
5.(a) Packaging			00					

- (1) Model No.: NPC
- (2) Description

A cubic stainless steel and foam outer packaging with nine cylindrical containment vessels for the transport of type A quantities of low-enriched uranium oxide powder, pellets, and compounds of uranium as defined in 5(b). The overall package dimensions are approximately 45 inches wide, 45 inches deep, and 44 inches high.

The outer packaging consists of a 10-gage stainless steel outer shell with a ceramic fiber board liner and rigid polyurethane foam filler. The foam filler has a three-by-three array of vertical cylindrical cutouts that accommodate stainless steel sleeves for placement of the containment vessels. The outer packaging is equipped with a top cover that is secured to the outer packaging body by a combination of 16 closure cap screws and four closure strips secured by 24 bolts.

The containment vessel is a maximum 8.515 inches in inner diameter and approximately 32 inches in overall length. The containment vessel is constructed of 18-gage stainless steel, surrounded by a cadmium sheet and polyethylene wrap within a 24-gage stainless steel jacket. The containment vessel is closed by a 16-gage closure lid, a silicone rubber gasket, and a band clamp assembly, which is composed of a 0.063-inch thick strap and retainer, a T-bolt, and a nut.

The gross weight of the package (packaging and contents) is 1,302 kg (2,870 pounds). The maximum weight of the contents is 540 kg (1,190 pounds).

5.(a) (3) Drawings

U.S. NUCLEAR REGULATORY COMMISSION U.S. NUCLEAR REGULATORY COMMISSION CERTIFICATE OF COMPLIANCE FOR RADIOACTIVE MATERIAL PACKAGES								
a. CERTIFICATE NUMBER b. 9294	b. REVISION NUMBER 9 71-9294 USA/9294/AF-96 2 OF							
The packaging is fabricated and assembled in accordance with the following Global Nuclear Fuel - Americas, LLC, Drawing Nos.: 177D4970, Sheet 1, Revision 1 177D4970, Sheet 2, Revision 0 177D4970, Sheet 3, Revision 0 177D4970, Sheet 4, Revision 0 177D4970, Sheet 5, Revision 0 177D4970, Sheet 6, Revision 0 177D4970, Sheet 7, Revision 0 177D4970, Sheet 8, Revision 1 SK105E4037, Sheet 2, Revision 1								
Material Form (≤5.00 wt.% U-235)	Par Re	ticle Size striction:	Maximum Loading per ICCA (kgs)		Maximum Loading per NPC (kgs)			
	Mini (I	mum OD nches)	Net	Uranium	Net	Uranium		
41	-		and the second se		-			
Homogenous Uranium Compou	unds	N/A	60.0	52.89	540.0	476.1		
Homogenous Uranium Compou Heterogenous UO ₂ Pellets (BV	unds VR)	N/A	60.0 60.0	52.89 48.48	540.0 540.0	476.1 436.3		
Homogenous Uranium Compou Heterogenous UO ₂ Pellets (BV Heterogenous UO ₂ Pellets(PV	unds VR) VR)	N/A 0.342 0.300	60.0 60.0 60.0	52.89 48.48 46.71	540.0 540.0 540.0	476.1 436.3 420.4		

- present. Homogenous and heterogenous uranium compounds may be mixed with other non-fissionab diluent materials (e.g., sand, iron, iron hydroxide, silica, carbon from ash, etc.) except for deuterium, tritium and beryllium.
- The solid form material within any individual NPC must be the same.
- Homogeneous and heterogeneous uranium materials are limited to solid form.
- For purposes of determining if the homogenous or heterogeneous criteria apply: if the particle size distribution is such that a majority of the particles are 1730 μm or greater, then the heterogenous payload criteria of Table 1 applies. If a majority of the particle size distribution is below 1730 μm, the homogenous payload criteria of Table 1 apply.
- The maximum mass of any Inner Containment Canister Assembly (ICCA) inner packaging materials (e.g., plastic bags or bottles) is unrestricted provided the mean hydrogen atom density of the packaging materials to be shipped inside the inner volume of each ICCA is not greater than water.

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(8-2000) 10 CFR 71 U.S. NUCLEAR REGULATORY COMMISSION

CERTIFICATE OF COMPLIANCE FOR RADIOACTIVE MATERIAL PACKAGES

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- Authorized materials also include UO₂ pellets present in standard PWR and BWR reactor fuel assembly lattice designs (e.g., 17X17 PWR fuel assemblies, 10X10, 9X9, 8X8 BWR fuel assemblies).
- The payload within an NPC may be distributed in any ratio within the nine ICCAs, provided that the Maximum Loading per ICCA and the Maximum Loading per NPC requirements of Table 1 are met. The payload within an ICCA can be enclosed in plastic poly bottle receptacles (e.g., bags, poly bottles, etc.).
- The "Material Form Column" homogeneous and heterogeneous uranium compounds are dry solids resulting from scrap recovery and waste incineration processes. Example compounds include:
 - uranium oxides (UO₂, U₃O₈, or UO_{x x>2})
 - uranyl nitrate (UN, UO₂(NO₃)₂)
 - uranyl nitrate hexahydrate (UNH, UO₂(NO₃)₂*6H₂O)
 - uranium tetrafluoride (UF₄)
 - sodium uranate (Na₂UO₄)
 - sodium diuranate (Na₂U₂O₇)
 - sodium diuranate hexahydrate (Na₂U₂O₇*6H₂O)
 - ammonium diuranate (ADU, 3UO₃*2NH₃*4H₂O)
 - ammonium uranyl carbonate (AUC, (NH₄)₄*UO₂*(CO₃)₃)

- dried calcium (Ca) uranium compounds/mixtures/sludges/ash, (e.g., CaUO₃, CaUO₄, Ca₂UO₅, Ca₂UO₄, Ca₃UO₆, CaU₃O₁₀*4H₂O, CaU₆O₁₉*11H₂O and CaU₆O₁₉*10H₂O)
- dried sodium (Na) uranium compounds/mixtures/sludges/ash, (e.g., Na₂U₂O₇*3H₂O and Na₂U₂O₇*H₂O)
- dried iron (Fe) uranium compounds/mixtures/sludges/ash

- 5.(c) Criticality Safety Index
- 6. In addition to the requirements of Subpart G of 10 CFR Part 71:
 - (a) The package must be prepared for shipment and operated in accordance with the Operating Procedures in Chapter 7 of the application, as supplemented. Within each ICCA, the contents and secondary packaging (i.e., dunnage) must provide a snug fit.

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- (b) Each packaging must be acceptance tested and maintained in accordance with the Acceptance Tests and Maintenance Program in Chapter 8 of the application.
- 7. The package authorized by this certificate is hereby approved for use under the general license provisions of 10 CFR 71.17.
- 8. Transport by air of fissile material is not authorized.
- 9. Revision No. 8 of this certificate may be used until June 30, 2021.
- 10. Expiration date: November 30, 2025.

NRC FORM 618 (8-2000) 10 CFR 71 U.S. NUCLEAR REGULATORY COMMISSION CERTIFICATE OF COMPLIANCE									
1. a. CERTIFICATE NUMBER	b. REVISION NUMBER	C. DOCKET NUMBER	d. PACKAGE IDENTIFICATION NUMBER	PAGE		PAGES			
9294	9	71-9294	USA/9294/AF-96	4	OF	4			
<u>REFERENCES</u> Global Nuclear Fuel - Americas, LLC, application dated December 12, 2019.									
	FOR	THE U.S. NUCL	EAR REGULATORY COM	MISSIO	N				
John McKirgan, Chief Storage and Transportation Licensing Branch Division of Fuel Management Office of Nuclear Material Safety and Safeguards									
Date: June 19, 2020									
VITED STATES			N COMMISSION						





U.S. Department of Transportation

Pipeline and Hazardous Materials Safety Administration

CERTIFICATE NUMBER: USA/9294/AF-96

ORIGINAL REGISTRANT(S):

Global Nuclear Fuels - Americas 3901 Castle Hayne Road Mail Code K-84 Wilmington, NC, 28401 USA

Westinghouse Westinghouse Electric Company - Nuclear Fuel Columbia Fuel Fabrication Facility 5801 Bluff Road Hopkins, SC, 29061 USA

Framatome 2101 Horn Rapids Road Richland, WA, 99354 USA